Form CTV-100

Cigarette, Tobacco, and Electronic Vaping Device Retail License Application

FOR CLERKS ONLY
Municipality
License Period

Part A: Promises/Business Informat	Dout At Dramicas/Business Information						
Part A: Premises/Business Information 1. Legal Business Name (individual name if sole proprietor)							
1. Legal Business Name (individual name il sole proprietor)							
2. Business Trade Name or DBA							
3. FEIN	4. Wisconsin Se	ller's Permit I	Number				
5. Entity Type (check one)	'						
☐ Sole Proprietor ☐ F	Partnership	ited Liability	Company				
6. State of Organization	7. Date of Organization		8. Wisconsin DFI Registration Number				
9. Premises Address (do not use PO Box)							
40.0%		44 04-4-	40.77.0.4				
10. City		11. State	12. Zip Code				
13. County 14. Governin	g Municipality: City Town	│ │ Village	15. Aldermanic District				
of:	g warnopanty City Towii	village	10. Aldernatile District				
16. Mailing Address (if different from premises ac	ldress)						
,	,						
17. City		18. State	19. Zip Code				
20. Premises Phone	21. Premises Email		22. Website				
23. Premises Description - Describe the building or buildings where cigarettes, tobacco products, and electronic vaping devices are to be sold and stored. Describe all rooms including living quarters, if used, for the sales and/or storage of cigarettes, tobacco products, and electronic vaping devices and records. Cigarettes, tobacco products, and electronic vaping devices may be sold and stored ONLY on the premises described in this application. Attach a floor plan if possible.							
Part B: Questions							
	no location? (shock all that are by)						
 What products will be sold at this busines Cigarettes 	Tobacco Products		☐ Electronic Vaping Devices				
2. How will cigarettes, tobacco, and/or elect		eck all that a	apply)				
Over the counter	☐ Vending machine						
3. Is the applicant business owned by anoth	ner business entity?		Yes No				
If yes, provide the name and FEIN of the parent company below, identify parent company members in Part C, and attach Form CTV-101 for all of the parent company's members, partners, or officers.							
3a. Name of Parent Company:							
3h FEIN of Parent Company:							

Part C: Individual Information	n							
An Individual Questionnaire, Form CTV any parent company indicated in Part B all members and agents of a limited lial	. Such persons inclu							
List the full name, title, and phone	number for each p	erson below. A	ttach additio	onal sheets if necess	sary.			
Last Name	First Name		Title		Phone			
Part D: Attestation								
One of the following must sign and • sole proprietor • one gene	l attest to this appli eral partner of a pai		• one corn	orate officer •	one mar	naging member of an LLC		
READ CAREFULLY BEFORE SIGNI		rtificianip	one corpe	State officer	One mai	laging member of all LLO		
I understand and agree to the fol								
I will only purchase cigarettes,	_	or products from	m distributor	re inhhare or subin	hhars ne	armitted by the Wisconsin		
Department of Revenue, unles	s I also hold the p	roper distribut	or's permit a	and pay all applicab	le excise	e taxes.		
	 I will not purchase or exchange products from another retailer, including transferring existing stock to a new owner. 							
 I will provide tobacco sales training that has been approved by the Wisconsin Department of Health Services to my employees. (https://witobaccocheck.org). 								
I will not sell single cigarettes.								
• I will not sell, give, or otherwise provide cigarettes, tobacco, or any nicotine products to minors.								
• I will keep product invoices on the licensed premises for two years and ensure the records are available for inspection by law enforcement. Failure to comply with this will result in criminal penalties, including loss of inventory.								
I will not sell cigarettes or roll-your of certified tobacco manufacture		pacco products	s unless liste	ed on the Wisconsin	Departn	nent of Justice's directory		
Further, under penalty provided b to operate this business accordin assigned to another. Any lack of inspection. Such refusal is a misd false information on this applicati	ng to law and that t access to any port emeanor and grou	the rights and ion of a licens inds for revoca	responsibilited premises ition of this li	ties conferred by the during inspection v cense. Any person v	e license will be de	e(s), if granted, cannot be eemed a refusal to permit		
Signature				Date				
Name (Last, First, M.I.)								
Title		Email				Phone		
Part E: For Clerk Use Only	Data Bassas !		Data !!:		11:-	a mumah an		
Date application was filed with clerk [Date license issued		Date license	expires	Licens	e number		

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License fees

Signature of Clerk/Deputy Clerk

Form CTV-100 Instructions

Cigarette, Tobacco, and Electronic Vaping Device Retail License Application

Who needs a cigarette, tobacco, and electronic vaping device retail license?

Any individual or entity that wants to sell cigarettes, tobacco products, or electronic vaping devices to consumers over the counter or through a vending machine must obtain a retail cigarette, tobacco, and electronic vaping device license.

Who issues cigarette, tobacco, and electronic vaping device retail licenses?

Municipal clerks of cities, villages, and towns issue cigarette, tobacco, and electronic vaping device retail licenses.

Specific Instructions

Part A: Business Information

- · Box 1: Enter the legal business name.
- Box 2: Enter the business trade name or "doing business as" name, if different than the name in box 1.
- Box 4: For questions about obtaining a seller's permit, see <u>Seller's Permit Common Questions</u>.
- Box 5: Check one entity type to indicate how the business is legally organized.
- Box 14: Check a municipality type and write the name of the governing municipality where the business is located. This may be different from the city listed in the premises address.
- Box 20 23: All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- Box 23: Describe the premises in detail. Attach a floor plan if possible.
 - Example: The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor sales floor, humidor, north storage room, and south office of the 5,000 square foot building.

Part B: Questions

- 1. Check the box(es) corresponding to each type of product you intend to sell. You may check multiple boxes.
- Check the box(es) corresponding to the type of retail sale intended. This license does not authorize any online sales.
 Cigarette vending machine retailers must also obtain a Cigarette Vending Machine Operator by completing Form CT-129.
- 3. If you answer yes to this question, provide the Legal Business Name and FEIN of the parent company in boxes 3a and 3b.

Part C: Individual Information

- Provide basic information for all persons involved in the cigarette, tobacco product, or electronic vaping device business who are sole-proprietors, partners, officers, members, or agents.
- Example titles: President, Treasurer, Chief Financial Officer, Member, Partner, etc.
- Include an Individual Questionnaire (Form CTV-101) for each person listed in this section with the submission of this application.
- If the applicant is owned by another corporation or LLC as indicated in Part B, Question 3, include information about the parent company's members or officers in the table, including the completion of Form CTV-101.

Part D: Attestations

· Read the attestation carefully, then sign and date.

Part E: For Clerks Use Only

• "Date license issued" means the date the municipal clerk issued the license certificate document.

Completion and Submission of Form CTV-100

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- In addition to Form CTV-100, include:
 - Form CTV-101 for the sole-proprietor; all officers, directors, and agent of a corporation; all partners of a partnership; all managing members and agent of a limited liability company
 - Form CTV-102 if the applicant is an LLC or corporation
 - Proof the applicant holds a seller's permit, such as a copy of the seller's permit document. Search for active sales tax accounts at revenue.wi.gov under My Tax Account, click on "Search Account Number" under the Businesses section. If you have questions about whether a person holds a seller's permit, contact the Department of Revenue at 608-266-2776
 - · All other information and documents required by your municipality

Open Records

This application is an open record under state law (sec. 19.35, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department may publish a list of cigarette, tobacco product, and electronic vaping device licensees reported by municipalities. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

Assistance

This form is designed by the Department of Revenue for use by municipal governments. Reach out to your municipal clerk for assistance with the following:

- Submission of the retail license application and supplemental forms
- · Availability of certain licenses

If you have questions about cigarette, tobacco product, and electronic vaping device laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: www.revenue.wi.gov

Write: DORAlcoholTobaccoEnforcement@wisconsin.gov

Call: (608) 264-4573

Resources Provided by the Department of Revenue

Wisconsin Department of Revenue Cigarette, Tobacco, and Vapor Product Landing Page Permit Predetermination Common Questions

Vapor Products Tax Common Questions

Fact Sheet 3501 Vapor Products Tax

Other Resources

<u>Tobacco Sales Training</u> – Wisconsin Department of Health Services Tobacco 21 – Wisconsin Department of Health Services

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		Date	

Form CTV-101

Cigarette, Tobacco, and Electronic Vaping Device License - Individual Questionnaire

Part A:	Business Informati	on							
1. Legal E	Business Name (individual	name if sole	e proprietor)						
2. Busine	ss Trade Name or DBA								
3. Entity	Гуре (check one)								
□ S	ole Proprietor		Partnership		Lir	nited Liability Comր	pany	□ C	orporation
Part B:	Individual Informat	ion							
1. Name	(Last)			2. Na	me (First)				3. Name (M.I.)
4. Relatio	nship to Business (Title)			5. Em	ail			6. Phone	
7. Home	Address								
8. City					9. State	10. Zip Code		11. Date of E	Birth
12. Drivers License/State ID Number						13. Drivers License	13. Drivers License/State ID State of Issuance		
Part C:	Individual's Addres	s Histor	у						
List in ch	nronological order all of	your addre	esses within the las	t 5 yea	ars. Attacl	n additional sheets	if necessary.		
Previous	Address 1			City			State	Zip Code)
Previous	Address 2			City			State	Zip Code	1
Previous	Address 3			City			State	Zip Code	!
Previous	Address 4			City			State	Zip Code	,
Previous	Address 5			City			State	Zip Code	!
Previous Address 6			City		State	Zip Code			
If applica	able, list all states and co	ounties yo	u have lived in as a	an adu	It. Attach	additional sheets if	necessary.		
State	County	State	County		State	County	State	County	
State	County	State	County		State	County	State	County	
	l .	1	l						

Continued \rightarrow

Part D: Individual's Criminal History						
Have you ever been convicted of any offenses (other than traffic offenses) for violation of any federal, Wisconsin, or another state's laws, or of any county or municipal ordinances?						
If yes to question 1, please list details of each conviction below:						
Law/Ordinance Violated	Location		Trial Date			
Penalty Imposed						
		Was sentence	completed? Yes No			
Law/Ordinance Violated	Location		Trial Date			
Penalty Imposed		Was sentence	completed? Yes No			
Law/Ordinance Violated	Location	<u> </u>	Trial Date			
Penalty Imposed		Was sentence	completed? Yes No			
Are charges for any offenses currently pending federal, Wisconsin, or another state's laws or a						
If yes to question 2, describe nature and statu	s of pending charges us	ing the space below.	Attach additional sheets as needed.			
Part E: Attestation by Individual						
READ CAREFULLY BEFORE SIGNING: I understand that I may be prosecuted for submitting false statements and affidavits in connection with this application, and that any person who knowingly provides materially false information on an application for cigarette, electronic vaping devices, and tobacco products retail license may be required to forfeit not more than \$1,000 if convicted. I declare under penalties of the law that I have examined this information and, to the best of my knowledge, it is true, correct, and complete to the best of my knowledge and belief.						
Signature		С	ate			
Dout Et Liconoine Authority Approved						
Part F: Licensing Authority Approval						
I hereby certify that I have checked municipal and state criminal records. To the best of my knowledge, with the available information, this individual qualifies to serve in the reported role with the above-named business.						
Name of Local Official		Title				
Signature of Local Official		D	ate			

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Form CTV-101 Instructions

Cigarette, Tobacco, and Electronic Vaping Device License - Individual Questionnaire

Who must complete Form CTV-101?

This form must be submitted to the municipal clerk along with Form CTV-100. One CTV-101 must be completed by each person involved in the applicant business. Such person include: sole proprietor; all officers, agents of a corporation; all partners of a partnership; and all members and agents of a limited liability company.

Note: Your cigarette, tobacco, and electronic vaping device license application (Form CTV-100) is not complete until all required Individual Questionnaires are submitted.

Where do I submit Form CTV-101?

Submit this form with the license application (Form CTV-100) to the clerk of the municipality in which the applicant business is located.

Specific Instructions

Date

Date you are preparing this form using the format MM/DD/YYYY.

Part A: Premises/Business Information

- Box 1: Enter the legal business name. If the applicant is a sole proprietor, enter the individual's first and last name.
- Box 2: Enter the trade name or "doing business as" name, if different than the name in box 1.
- Box 3: Check one entity type to indicate how the business is legally organized.

Note: This business information must match the information on the license application (Form CTV-100).

Part B: Individual Information

- · Provide all requested personal information.
- Box 2: Enter your title or describe your relationship to the business. Examples: President, Treasurer, Chief Financial Officer, Member, Partner, Agent, etc.

Part C: Address History

- List your addresses within the past five years.
- · List any states and counties you have lived in not already listed in Part C.

Part D: Criminal History

- Question 1: Disclose any civil or criminal violations of law in any jurisdiction (federal, state, or local ordinance).
- Question 2: Disclose any pending charges against you in any jurisdiction.

Note: Subject to the Wisconsin Fair Employment Law (Ch. 111, Wis. Stats.), persons with convictions or pending charges may, if the offenses are sufficiently relevant, be prohibited from holding a retail cigarette, tobacco, and electronic vaping device license under sec. 134.65(1m), Wis. Stats. See the Department of Revenue's <u>Permit Predetermination Common Questions</u> for offenses that may prevent someone from holding a license.

Part E: Attestation:

Read the attestation carefully, then sign and date.

Assistance

This form is designed by the Department of Revenue for use by municipal governments. Reach out to your municipal clerk for assistance with the following:

- · Submission of the retail license application and supplemental forms
- · Cost of certain licenses

If you have questions about cigarette, tobacco, and electronic vaping device laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: https://www.revenue.wi.gov/Pages/Businesses/Tobacco.aspx

Write: DORAlcoholTobaccoEnforcement@wisconsin.gov

Call: (608) 264-4573

Resources Provided by the Department of Revenue

Wisconsin Department of Revenue Cigarette, Tobacco, and Vapor Product Landing Page

Permit Predetermination Common Questions

Vapor Products Tax Common Questions

Fact Sheet 3501 Vapor Products Tax

Other Resources

Tobacco Sales Training - Wisconsin Department of Health Services

Tobacco 21 - Wisconsin Department of Health Services

Form CTV-102

Cigarette, Tobacco, and Electronic Vaping Device Appointment of Agent

Date		
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Agent Type (check one):	☐ Original ☐ Change					
Part A: Agent Informa	tion					
1. Last Name		2. First Name		3. M.I.		
4. Email			5. Phone	-		
6. Home Address			<u> </u>			
7. City			8. State	9. Zip Code		
10. Date of Birth	11. Drivers License/State ID Number		12. Drivers License	e/State ID State of Issuance		
Part B: Questions						
-	orm CTV-101, <i>Cigarette, Tobacco, and</i> a completed Form CTV-101 with this t					
2. If this is a change of ag	ent, please describe the reason for the	e agent change. Attach a	additional sheets	if necessary.		
Part C: Business Info						
Legal Business Name (indirection)	vidual name il sole proprietor)					
2. Business Trade Name or D	ВА					
3. Entity Type (check one)	Limited Liability Company	☐ Corpora	ation			
4. Premises Address						
5. City			6. State	7. Zip Code		
Part D: Attestations						
liability company with full au devices conducted therein. successor agent, I rescind a statements and affidavits in	RE SIGNING: I, the Licensee, authorize the thority and control of the premises and of all certify that I am authorized by the entity to all previous agent appointments for this prediction with this application, and that to forfeit not more than \$1,000 if convicted	I business relative to cigare o authorize this individual to mises. Further, I understan t any person who knowingl	ttes, tobacco produ act on behalf of th d that I may be pro	cts, and/or electronic vaping e entity. If I am appointing a secuted for submitting false		
Signature of Licensee (officer,	member, or authorized signatory)		Date			
Name of Person Signing for L	icensee		Title			
READ CAREFULLY BEFORE SIGNING: I, the Agent, herby accept this appointment as agent for the above-named corporation or limited liability company and assume full responsibility for the conduct of all business relative to sales of cigarettes, tobacco products, and/or electronic vaping devices conducted on the premises for the above-named business. I further understand that I may be prosecuted for submitting false statements and affidavits in connection with this form, and that any person who knowingly provides materially false information on this form may be required to forfeit not more than \$1,000 if convicted.						
Signature of Agent			Date			

Form CTV-102 Instructions

Appointment of Agent

Who must complete Form CTV-102?

Wisconsin law requires corporations and limited liability companies (LLCs) to appoint an agent that takes responsibility for the licensed premises.

Submit this form with CTV-100 to appoint an agent while applying for a license, or as a standalone document to report a change in appointed agent.

Where do I submit Form CTV-102?

Form CTV-102, *Appointment of Agent*, must be submitted to the clerk of the municipality in which the business or organization is located.

Specific Instructions

Date:

Date you are preparing this form using the format MM/DD/YYYY.

Agent Type:

Select original appointment if you are appointing an agent with your license application (Form CTV-100). Select change if you are reporting a change of agent mid-licensing period.

Part A: Agent Information

Provide all requested personal information for the appointed individual.

Part B: Agent Questions

- These questions should be answered by the appointed individual.
- Question 1: Submit a completed Form CTV-101, Individual Questionnaire, with this form.
- Question 2: Describe the reason why the business entity must appoint a new agent.
 - Examples include: the previous agent is no longer an employee of the entity, the previous agent is no longer eligible to be an agent of the premises, the previous agent was not responsive to business needs.

Part C: Licensee Information

- Box 1: Enter the legal business name.
- Box 2: Enter the trade name or "doing business as" name, if different than the name in box 1.
- Box 3: Check one entity type in to indicate how the business is legally organized.

Note: This business information must match the information on the license application (Form CTV-100) or license certificate if reporting a change of agent during the license period.

Part D: Attestations

- An authorized representative of the licensee should read the first attestation carefully and sign to acknowledge the appointment of this agent.
- If the business in Part C is a corporation, the attestation must be signed by an authorized corporate officer or director.
- If the business in Part C is an LLC, the attestation must be signed by an authorized LLC member (i.e., managing member).
- The agent should read the second attestation carefully and sign to accept the appointment.
- An authorized representative of the licensee may appoint themselves as the agent by signing both attestation sections.

Part E: Licensing Authority Approval

This section is for use by the appropriate municipal official to attest to the qualifications of the individual.

Assistance

This form is designed by the Department of Revenue for use by municipal governments. Reach out to your municipal clerk for assistance with the following:

- · Submission of the retail license application and supplemental forms
- · Availability of certain licenses

If you have questions about cigarette, tobacco product, and electronic vaping device laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: www.revenue.wi.gov

Write: DORAlcoholTobaccoEnforcement@wisconsin.gov

Call: (608) 264-4573

Resources Provided by the Department of Revenue

Wisconsin Department of Revenue Cigarette, Tobacco, and Vapor Product Landing Page Permit Predetermination Common Questions

Vapor Products Tax Common Questions

Fact Sheet 3501 Vapor Products Tax

Other Resources

<u>Tobacco Sales Training</u> – Wisconsin Department of Health Services <u>Tobacco 21</u> – Wisconsin Department of Health Services